

Roll No. ....

**67851**

**LLM 4th Semester Examination-  
December, 2015**

**CORPORATE FINANCE IN THE S.E.B.I.  
ACT 1992**

**Paper M-18 (G-II)**

**Time : 3 hours**

**Max. Marks : 80**

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard will be entertained after the examination.

**Note :** All questions in Section-A are **compulsory**. Each part of the Question in Section-A carry 3 marks. Attempt any **four** questions from Section-B.

**SECTION - A**

1. Explain in brief :

(a) Deemed Prospectus (3)

67851-150-(P-3)(Q-9)(15) (1)

[ Turn Over

- (b) Public Issue (3)
- (c) Share and Share warrant (3)
- (d) Book value of share (3)
- (e) Share holder and member (3)
- (f) In cider trading (3)
- (g) Reduction of share capital (3)
- (h) Dividend (3)

**SECTION - B**

- 2. Discuss the concept, contents, kinds and importance of prospectus of a corporate body. (14)
- 3. Discuss the law regarding prohibition of manipulative and deceptive devices and in cider trading etc under SEBI Act, 1992. How far the law has achieved the desired objective ? (14)
- 4. "All individuals made by the company must be held by it in its own name." Is there any exception to this rule. (14)

67851-150-(P-3)(Q-9)(15) (2)

5. What is the difference between Buy-back of shares and Reduction of share capital by a corporate body ? (14)
6. What role a corporate body can play to maintain social responsibilities and business ethics ? Discuss. (14)
7. Explain the different ways through which a person can become member of a company. To what extent is it possible for a minor to become member of a company ? (14)
8. Enumerate and examine penalties for various violations under SEBI Act. (14)
9. Examine the powers, functions and role of SEBI. (14)

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