

Roll No.

67851

**LL.M. 4th Semester Examination--
May, 2016**

**LAW OF CORPORATE FINANCE IN THE
S.E.B.I. ACT, 1992**

Paper : M-18 (G-II)

Time : 3 hours

Max. Marks : 80

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard will be entertained after the examination.

Note : Attempt **all** the eight questions from Section-A of 3 marks each and any **four** from Section-B of 14 marks each.

SECTION - A

1. Answer the following :

(a) What do you mean by Prospectus ?

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[Turn Over

- (b) Define the word "Deposit".
- (c) Meaning of share certificate.
- (d) What is the qualification to become member of a company ?
- (e) What is insider trading ?
- (f) What do you mean by contributory ?
- (g) Define three main duties of SEBI.
- (h) What do you mean by share ?

SECTION - B

2. Write notes on the following :

- (a) Equity shares and par value shares
- (b) Global Depository Receipts

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3. Define the role of business ethics and social liability in corporate finance in present scenario.
4. Discuss the circumstances when a company can buy back its own shares.
5. Write notes on the following :
Explain SEBI regulations about buy back of securities
6. When can a company purchase shares of another company under the provisions of Company Act, 2013 ?
7. Discuss the penalties prescribed under SEBI Act for violation of its provisions.

8. Highlight the functions and powers of SEBI.
9. Write brief notes on any **two** of the following :
 - (a) Floating charge
 - (b) Fixed charge
 - (c) Appellate powers of the Supreme Court under SEBI Act.

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