

Roll No.

57523

**BBA 3rd Semester (New Scheme)
Examination – December, 2024**

CAPITAL MARKETS

Paper : BBAN-303

Time : Three hours]

[Maximum Marks : 80

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard, will be entertained after examination.

Note : All questions of Section-A are compulsory. Attempt four questions from Section-B, selecting one question from each Unit. All questions carry equal marks.

SECTION – A

1. Write short note on the following : $2 \times 8 = 16$

(a) FPO

(b) Meaning of securities

(c) Stock Index

(d) Underwriting

(e) Bonds

(f) Systematic Investment Plan

(g) Investor Protection

(h) Portfolio Management

SECTION – B

UNIT – I

2. What are the key characteristics of a developed capital market ? Discuss the major reforms introduced in the Indian capital market. 16

3. What is the need for regulation of capital markets ? Explain the regulatory framework of Indian capital markets. 16

UNIT – II

4. Discuss SEBI's role in enhancing liquidity in the stock market. Describe specific measures implemented by SEBI to improve liquidity. 16

5. Discuss the various methods used by companies to raise resources from the primary market. 16

UNIT – III

6. Explain the benefits of the depository system for investors, companies, and the overall capital market ecosystem in India. 16
7. Discuss various types of instruments traded in the Debt market. Discuss the characteristics and roles of government bonds in the debt market. 16

UNIT – IV

8. Write a short note on the following : 16
- (i) NABARD
- (ii) SIDBI
9. Examine the role of mutual funds in promoting financial inclusion and mobilizing savings from retail investors. 16