

Roll No. : .....

Total No. of Questions : 9 ] [ Total No. of Pages : 3

**57523**

**B.B.A. 3rd Semester  
Examination, March-2021  
(New Scheme 2014-17)**

**CAPITAL MARKETS  
Paper-BBAN-303**

*Time : Three Hours ] [ Maximum Marks : 80*

*Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard, will be entertained after examination.*

*Note :- Attempt five questions in all, selecting at least one question from each Unit of Section B. Question No. 1 is compulsory.*

**Section-A**

1. (a) Explain the meaning of Floating Rate Bonds.
- (b) Explain the Equity Warrants.

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- (c) What are main three objectives of National Stock Exchange ?
- (d) What is listing of securities ?
- (e) Give the definition of debt market.
- (f) Difference between Demat share and Physical share.
- (g) What are the development Banks ?
- (h) Explain the features of Mutual Funds. 2×8=16

**Section-B**

**Unit-I** 16 each

2. Define capital market and state its main features.
3. Discuss in brief the various capital market instruments.

**Unit-II** 16 each

4. What do you mean by Primary Capital Market ? State the functions of primary capital market.
5. Write a note on organisation and management of stock markets in India.

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**Unit-III**

16 each

- 6/ Explain the term 'depository'. What type of services are provided by depositories ?
7. Who are the participants in debt market ?  
Discuss the importance of debt market in capital market.

**Unit-IV**

16 each

8. What do you mean by Development Financial Institutions ? Discuss the role of financial institutions.
- 9/ State the role of the Securities and Exchange Board of India (SEBI) about investors protection.

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