

Roll No.

57523

**BBA 3rd Semester (N. S.) 2014-17
Examination – November, 2019**

CAPITAL MARKETS

Paper : BBAN- 303

Time : Three Hours]

[Maximum Marks : 80

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard, will be entertained after examination.

Note : Attempt *compulsory* question No. 1 from Section 'A' are *four* questions from Section 'B' (One question from each Unit). All question carry equal marks.

SECTION – A

1. Briefly explain the following :

- (a) Meaning of capital market
- (b) Features of non-convertible debentures

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- (c) Role of merchant bankers in an IPO.
- (d) Functions of Secondary Market
- (e) Features of demat shares
- (f) Rolling settlement
- (g) Universal banking
- (h) Open ended schemes

SECTION – B

UNIT – I

2. Discuss the characteristics and functions of capital markets. Highlight their role in a country's economy.
3. Describe the major instruments traded in Indian capital market. Which factor should a person consider for the investment made in them ?

UNIT – II

4. What are the characteristics of primary market ? Describe the primary market scenario in India.
5. Which services are provided by a stock exchange ? What are the measures taken by SEBI for increasing liquidity in stock market ?

UNIT – III

6. Explain the term 'depositories'. What types of services they provide ? Explain the depository process.
7. Why is the debt market considered an important segment of the capital market ? Who are the participants in it ? Which measures have been taken to promote the corporate debt market ?

UNIT – IV

8. Write a detailed note on the objectives and products and services offered by EXIM Bank.
9. Describe the benefits of investing in mutual funds. Briefly discuss various types of mutual fund schemes.