

Roll No.

9658

**BALLB (Hons.) 5 Year Course 10th
Semester Common with LLB (Hons.)
3 Year Course 6th Semester
Examination – November, 2017
FINANCIAL MARKET REGULATIONS (Old)**

Paper : 1005

Time : Three Hours]

[Maximum Marks : 80

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard, will be entertained after examination.

*Note : Attempt any **four** questions, selecting at least **one** question from each Unit. Unit-V is **compulsory**.*

UNIT – I

1. Discuss the meaning and segments of financial market.
2. Explain the characteristics of Banking and non-banking finance companies.

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UNIT – II

3. Define 'Capital Market'. Differentiate between primary and secondary capital market.
4. Write a note on the History of Stock Exchange in India.

UNIT – III

5. Explain the meaning and kinds of Derivatives.
6. Write note on the following :
 - (a) Credit derivatives
 - (b) Regulatory control on derivatives

UNIT – IV

7. Discuss role and functions of RBI in regulating Financial Market Transactions.
8. Examine the role of Minister of Company Affairs as a regulator in financial market.

UNIT – V

9. (a) Mutual Funds
- (b) Industrial Securities Market

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- (c) Bombay Stock Exchange
 - (d) Swaps
 - (e) Listing agreement with special reference to clause 49
 - (f) Risk management through derivatives
 - (g) Role of SEBI as a regulator
 - (h) Role of Central Government as a regulator
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