

9658-A

B.A. LL.B (Hons.) 5 Year Course 10th Semester
(Common with LL.B (Hons.) 3 Year Course 6th Semester)

Examination, November-2018

FINANCIAL MARKET REGULATIONS

Paper-1005

(w.e.f. Dec-2013)

Time allowed : 3 hours]

[Maximum marks : 80

Note : Attempt four questions from Unit-I to IV by selecting one question from each unit. Each question carry 14 marks. Unit-V is compulsory and carries 3 marks each.

Unit-I

1. What do you mean by Market ? Explain the functions of Capital and Money Market. 14
2. What do you mean by Financial Institutions ? What functions are performed by Financial Institutions ? 14

Unit-II

3. What is Capital Market ? Explain in detail various segments of Capital Market. 14
4. Write a detail note on the following:
 - (a) Bombay Stock Exchange 7
 - (b) National Stock Exchange 7

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Unit-III

5. What do you mean by Reconstruction ? Discuss in detail various types of reconstruction with the help of suitable examples. 14
6. Write a detail note on the following:
 - (a) Arrangement 07
 - (b) Merger 07

Unit-IV

7. Guidelines issued by SEBI is mandatory. Do you agree ? Explain the functions of SEBI in present scenario. 14
8. Ministry of Corporate Affairs regulate the Financial Sector. Are you satisfied with the functioning of Ministry, if not suggest some suggestions to improve the functioning of Ministry ?

Unit-V

9. (a) Liquidity 8×3=24
- (b) Commercial Banks
- (c) Transfer of Shares
- (d) N.D.S.L
- (e) Vertical Merger
- (f) Conglomerate Merger
- (g) Rolling Settlement
- (h) Power of Central Govt to supersede the SEBI