

Roll No.

9658-A

**BALLB (Hons.) 5 Year Course 10th
Semester Common with LLB (Hons.)**

3 Year Course 6th Semester

Examination – November, 2017

FINANCIAL MARKET REGULATIONS

(New) (w.e.f. Dec.-13)

Paper : 1005

Time : Three Hours]

[Maximum Marks : 80

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard, will be entertained after examination.

Note : Attempt *four* questions from Unit I to IV, selecting at least *one* question from each Unit. Unit-V is *compulsory*.

UNIT – I

1. What do you mean by Financial Market ? Explain the functions performed by Financial Market. 14
2. What are the Financial Institutions ? Discuss the types of Financial Institutions. 14

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P. T. O.

UNIT – II

3. Discuss in detail various types of market in Indian Financial System. 14
4. Bombay Stock Exchange is playing vital role since its establishment. Critically examine. 14

UNIT – III

5. How reconstruction of companies become effective ? Discuss the different types of reconstruction. 14
6. Differentiate between Merger and Amalgamation of companies. 14

UNIT – IV

7. What is the role of SEBI as a regulator ? 14
8. Explain in detail the role of Ministry of Company affairs to regulate the various market policies. 14

UNIT – V

9. Explain in brief : 3 × 8 = 24
 - (a) Non-Banking Financial Company
 - (b) Industrial Securities Market
 - (c) Treasury Bill Market

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- (d) O. T. C. E. I.
 - (e) Primary Market
 - (f) Rolling Settlement
 - (g) Internal Reconstruction
 - (h) Vertical Merger
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