

Roll No.

9658-A

**B. A. LL.B. (Hons.) 5 Year Course
10th Semester/LL. B. (Hons.) 3 Year
Course 6th Semester
Examination – May, 2024**

FINANCIAL MARKET REGULATIONS (w.e.f. Dec.-2023)

Paper : 1005/1794/1594

Time : Three Hours]

[Maximum Marks : 80

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard, will be entertained after examination.

Note : Attempt *four* questions from Unit-I to IV, selecting *one* question from each Unit. These questions shall carry **14** marks each. Unit-V (Question No. 9) is **compulsory** and each question in this section shall carry 3 marks.

UNIT – I

1. What do you mean by financial institutions ? Discuss in brief the functioning of financial institutions in India.

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P. T. O.

2. What do you mean by financial market ? Explain the functions performed by the financial market.

UNIT – II

3. "A stock exchange is an important factor in the capital market. It is a secure place where trading is done in a systematic way. Here, the securities are bought and sold as per well-structured rules and regulations. "What are the functions of the stock exchange ?
4. What do you mean by capital market ? How does it aid in the economic growth of India ? Discuss the functions of the capital market.

UNIT – III

5. What do you mean by Merger ? Discuss in brief the various types of mergers.

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6. Differences between the Internal and External Reconstruction of a Company. What are the purposes of External reconstruction ?

UNIT – IV

7. Discuss in detail the role of the central government to regulate the capital and money market.
8. Guidelines issued by The SEBI are mandatory. Do you agree ? Explain the functions of SEBI in the present scenario.

UNIT – V

9. Explain the following in brief :
- (a) Call Money Market.
 - (b) Treasury Bill Market.
 - (c) Accounting Settlement System.
 - (d) National Stock Exchange.

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- (e) Exchange Ratio During Merger and Acquisitions.
- (f) What do you mean by external reconstruction ?
- (g) Functions of RBI to regulate the agriculture sector.
- (h) Role of Ministry of corporate affairs in controlling the Working of Registrar of Companies.

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