

**9658-A**

**B.A. LL.B. (Hons.) 5 Year Course 10th Semester / LL.B.  
(Hons.) 3 Year Course 6th Semester**

**Examination, May-2018**

**FINANCIAL MARKET REGULATIONS**

**Paper-1005 wef May 2013**

*Time allowed : 3 hours] [Maximum marks : 80*

*Note: Attempt four questions from unit I to IV, selecting at least one Question from each unit. Unit - V is compulsory.*

**Unit-I**

1. "Mutual Fund is a Fund established in the Form of Trust".  
Elaborate. 14
2. Discuss in detail the Bill of Exchange and Promissory note. Draft each one 14

**Unit-II**

3. Listing Agreement clause - 49 is the tool of Good Governance. Explain 14
4. Define various functions of stock exchanges in India. 14

**Unit-III**

5. Acquisition is the integral part of Merger and Amalgamation. Explain 14

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6. Discuss in detail various types of Reconstruction. 14

**Unit-IV**

7. What is the role of Reserve Bank of India in regulating the Financial Market in present scenario. 14
8. Discuss in detail the role of Central Govt. as a regulator of Securities Market. 14

**Unit-V**

9. Explain in brief
- (a) Horizontal Merger 3
  - (b) Acquisition 3
  - (c) Secondary Market 3
  - (d) Commercial Bill Market 3
  - (e) Certificate of Deposit 3
  - (f) Bombay stock Exchange 3
  - (g) Functions of S.E.B.I 3
  - (h) Ministry of Company Affairs 3